



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PRELIMINARY AMENDMENT TRANSMITTAL LETTER

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 17, 2002.

Carolle Cook

Applicant

Yukio Horiuchi, et al.

Application No.: Filed:

09/885,498

Title

June 19, 2001 OPTICAL DATA TRANSMISSION METHOD AND ITS SYSTEM, OPTICAL

TRANSMITTER AND ITS METHOD, AND OPTICAL SWITCHER

Grp./Div.

2633

Examiner Docket No.

To be assigned

45354/DBP/T360

RECEIVED

FEB 2 0 2002

Technology Center 2600

Post Office Box 7068

Pasadena, CA 91109-7068

January 17, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

Enclosed is a preliminary amendment to the above-identified application.

| | | CLAIMS AS | AMENDE | D | | |
|------------------------------------|---|-------------------------------|---------------------------|----------------------|----------------------|----------|
| | Claims Remaining After Amendment | Highest Number Paid For | Number Extra Claims | Small Entity Rate | Large Entity Rate | FEE |
| Total Claims Fee | 20 | * 20 | 0 | x \$9.00 | x \$18.00 | |
| Independent Claims | 10 | ** 5 | 5 | x \$42.00 | 5 x \$84.00 | 420.00 |
| Multiple Dependent Claims *** | - | | | \$140.00 | \$280.00 | |
| TOTAL FILING FEE | | | | | | \$420.00 |
| NO ADDITIONAL FEE REQUIRED **** | IF NO FEE RI | 0 | | | | |
| LIST INDEPENDENT C | LAIMS: 1, 3, <u>5,</u> | 7, 9, 11, 13, | 15, 17 and 1 | 9 | | |

- * IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3
- ** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3
- *** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME
- **** IF NO FEE REQUIRED. ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"

| X | Attached is our | check for | \$420.00 to pay | the fees | calculated above. |
|---|-----------------|-----------|-----------------|----------|-------------------|
|---|-----------------|-----------|-----------------|----------|-------------------|

- A Petition for Extension of Time and the required fee are enclosed.
- Other enclosures:

Amendment Transmittal Letter Application No. 09/885,498

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by or to give effect to this paper to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

 $\mathbf{B}\mathbf{y}$

Nicholas J. Rauley

Reg. No. 44,999 626/795-9900

NJP/clc

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Applicant Application No. : 09/885,498

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Title

: OPTICAL DATA TRANSMISSION

METHOD AND ITS SYSTEM, OPTICAL TRANSMITTER AND ITS METHOD,

AND OPTICAL SWITCHER

: Yukio Horiuchi, et al.

Grp./Div.

: 2633

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 January 17, 2002

Commissioner:

Please amend the above-identified application as follows:

In the Claims:

Please add new claims 11 through 20.

An optical data transmission method to transmit an optical packet composed of a header and data comprising steps of:

generating a second clock which has a frequency equal to one integer of that of a first clock carrying the data and synchronizes with the first clock; and

carrying the header information on the second clock.

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